



ASSOCIATION OF CERTIFIED FRAUD EXAMINERS – GTA CHAPTER

www.acfe-gta.com

&

COUNCIL OF PROFESSIONAL INVESTIGATORS OF ONTARIO (CPIO)

www.cpiontario.ca

THURSDAY, APRIL 22, 2021

7:45 AM - 4:15 PM (EDT)

ACFE GTA AND CPIO MEMBERS	\$175 + HST
NON-MEMBERS	\$220 + HST
GTA CHAPTER STUDENT AFFILIATE	\$50 + HST

GROUP PRICING AVAILABLE

for THREE or MORE persons from the same organization/firm.

Contact connect@acfe-gta.com for details.

TIME	DETAILED AGENDA – 7.0 CPE CREDITS
7:15 am	PLATFORM OPENS **Registrants will receive an email with the Zoom link & instructions on April 20, 2021**
8:15 – 8:30 am	CONFERENCE BEGINS – WELCOME & HOUSEKEEPING
8:30 – 9:30 am	UNMASKING FRAUD SERIOUS FRAUD OFFICE, Government of Ontario Heidi Stewart & John Corelli
9:30 – 9:45 am	BREAK
9:45 – 10:45 am	FINDING THAT NEEDLE IN THE HAYSTACK: RECENT EXPERIENCES WITH PHYSICAL EVIDENCE James Tunkey
10:45 – 11:45 am	PRIVACY AND THE INVESTIGATIVE PROFESSION: WHERE ARE WE 20 YEARS POST PIPEDA AND WHERE ARE WE GOING? Brian King
11:45 am – 1:00 pm	LUNCH BREAK
1:00 – 2:00	THE INVESTIGATION AND ASSESSMENT OF VIOLENCE RISK – A BRIEF LOOK AT CHALLENGES AND BEST PRACTICES Bill Gofton
2:00 – 3:00	COUNTERFEIT GOODS – NEW TRENDS IN INVESTIGATION Georgina Danzig
3:00 – 3:15	BREAK
3:15 – 4:15	A JOURNEY FIGHTING FINANCIAL CRIME ON BOTH SIDES OF THE 49 TH PARALLEL Harold Wax
4:15 - 4:25	CLOSING REMARKS (Presidents of CPIO and ACFE – GTA)

SPONSORS

Platinum Sponsor

Think Ahead

ACCA

<https://www.accaglobal.com/gb/en.html>

Gold Sponsors



TOPIC OVERVIEW: The presentation will provide a current look at fraud in Ontario and will give an overview of the newly formed Serious Fraud Office.

Detective Inspector Heidi Stewart has been a member of the Ontario Provincial Police since 1992 and has been assigned to several work locations in south-western and mid-western Ontario. Her current role is the Deputy Director of the Serious Fraud Office (SFO) in Toronto and has held that position since March 2018. Prior to joining the SFO, she was a major case manager with the Criminal Investigation Branch for five years, leading teams of detectives throughout multiple jurisdictions in the province with respect to homicides and other complex criminal cases. She has received Commissioner commendations for investigative excellence in homicide and serial predator investigations. She has held investigative and supervisory roles in major crime units, the Asset Forfeiture Unit as well as leading operations at a detachment. Within the SFO, she has oversight of the investigative pillar, which includes detectives, asset forfeiture, crime analytics, education & training, victim support, crime prevention, data mining and research.

John Corelli became a prosecutor with the Ministry of the Attorney General (Ontario) upon being Called to the Bar in April 1986. He is currently the Chief Counsel of the Prosecution Branch of Ontario's Serious Fraud Office. John began his career as an Assistant Crown Attorney in Sault Ste. Marie before becoming an appellate counsel with the Crown Law Office - Criminal in 1991. In 1998, John was appointed Chief Counsel, Special Investigations and from 2003-2014 he served as a Deputy Director in the CLO-Criminal. As a Deputy Director, John was responsible for various practice groups, including: Appeal Assignment, International and Criminal Division Support, Human Resources, Asset Forfeiture and Trials. John was appointed to the position of General Counsel in the CLO-Criminal in 2014. He has appeared in all levels of court including the Court of Appeal for Ontario and the Supreme Court of Canada. John was a member of the Securities Fraud Enforcement Working Group, which presented proposals for enhancing enforcement to the Justice Ministers in November 2007. He was also a member of the government's Serious Fraud Office Task Force which led to the creation of Ontario's Serious Fraud Office. John completed secondments at the Ontario Securities Commission (2007-2008) and at the RCMP as the Officer-in-Charge of GTA Financial Crimes (2017-2018). John has an LL.B. from Queen's University, an LL.M. from Osgoode Hall Law School and has lectured regularly on the topic of fraud and asset forfeiture to domestic and foreign audiences.



FINDING THAT NEEDLE IN THE HAYSTACK: RECENT EXPERIENCES WITH PHYSICAL EVIDENCE

JAMES TUNKEY, CFE

I-OnAsia

TOPIC OVERVIEW: A fraud examiner walks into a victim's home and sees piles of papers stacked to the ceiling on the dining room table. In another case, the starting point for a fraud examination is a large pile of garbage bags that are full of seized documents that have gone moldy sitting in a storage unit. Where to begin? In this presentation, we will look at lessons learned in two recent fraud investigations cases.

Two Serious Fraud Case Studies are incorporated into the presentation.

The first case study will walk through a \$2 million embezzlement by a property manager. The fraud caused a larger \$10 million loss by investors. We will focus on the trove of physical documents that were relied upon to ultimately make a case, and how they were handled and used to inform interviews, fieldwork, and computer forensics. The characteristics of this type of real estate fraud, and lessons for investors will be discussed.

The second case study will walk through a US\$90 million bogus investment scheme. We will again look at how a cache of materials that had been collected by the victim himself were collated and analyzed. Key clues will be discussed, including leads to documents that were missing from that initial cache. Colorful twists and turns of the case will be discussed in detail.

Attendees will learn:

- An approach to structuring documents in proper chronological or thematic order, to better understand the available evidence.
- How to recognize fraud clues in what may be absent or missing from a cache of materials.
- Practical methods for investigating two types of real estate and investment frauds.

James Tunkey, CFE is the New York office head and Chief Operating Officer of I-OnAsia, a global risk management consultancy. James's team in the Americas has handled 5,000 assignments since 2004 in critical areas of Due Diligence, Crisis Management, Security Services, Corporate Investigations, and Litigation Support. James holds a TRIUM MBA, jointly conferred by NYU Stern, the London School of Economics, and HEC Paris. James has been a Certified Fraud Examiner since 2003. His fraud investigation work builds on his international experience and skills as an analyst of large and complex data sets. James has always enjoyed "following the paper trail". Very early in his career, James clipped articles for the *Asian Wall Street Journal*, managing its Beijing bureau's library. In the mid-1990s, when James worked with a key source to turn a trove of local newspaper clippings into one of the most successful asset recoveries in history, recovering over US\$2 billion that had been siphoned out of a country by its dictator. Since then he has located over US\$7 billion in stolen assets as part of his fraud investigations.



PRIVACY AND THE INVESTIGATIVE PROFESSION: WHERE ARE WE 20 YEARS POST PIPEDA AND WHERE ARE WE GOING?

BRIAN D. KING, CFE, CII

KING INTERNATIONAL ADVISORY GROUP INC.

TOPIC OVERVIEW: The right to privacy of personal information and the laws surrounding it is a tightrope walk for the investigation profession. We should always be asking, has our client asked us to provide information that is in the “grey” area or possibly even over the “black” boundaries? One of Canada’s leading Private Investigators will speak about walking that line and the need to always stay on the right side. He will explain why it is always important to embrace the privacy laws and regulations and how you can still have an effective practice while being compliant.

Mr. King will enlighten you with his positive and humorous style to our travels through PIPEDA since its arrival in 2001, as well as recent decisions and what exemptions and protections we have with respect to gathering and providing personal information.

He will explore how and if the new Bill C-11, the proposed Consumer Privacy Protection Act (the CPPA) will have an effect on how our profession might be required to handle digital information.

Full of great examples and hypothetical situations, Mr. King’s presentation will be an enlightenment to both new entries to the field and seasoned investigators.

Brian D. King is CEO and President of King International Advisory Group Inc. (KIAG), a Canadian internationally recognized boutique litigation intelligence firm headquartered in Toronto. Mr. King is the former founder and CEO of King-Reed & Associates (CKR Global), a company which grew to be Canada’s largest investigation agency.

With four decades of experience, Mr. King and his extensive team has developed comprehensive strategies for dealing with delicate issues relating to Fraud and White- Collar Crime, as well as Human Resources and Workplace Harassment Compliance issues. He has worked closely with local and international law firms, regulatory bodies and government organizations on problem solving and compliance related issues.

In the early 2000s, Brian chaired the Privacy Committee for the Canadian Council of Private Investigators and was instrumental in lobbying the federal government to obtain the “Investigative Body Status” Regulation for Investigators and Insurance Adjusters throughout Canada. Through this process and his embracing of the new statute at the time, he became known as an industry expert on PIPEDA and often provides oversight to industry leaders on privacy related and other regulatory issues.

Throughout his career Mr. King had developed excellent relations with investigators internationally through his active involvement with the Council of International Investigators (CII) which has included two terms as President and many years on the executive board of this prestigious organization. His work has included assignments in Southeast Asia, Europe, North and Latin America and various emerging countries.

In addition, in his spare time Mr. King has spent countless hours volunteering pro-bono for Innocence Canada on high profile criminal cases involving miscarriages of justice, including the Wrongful Convictions of Steven Truscott and Robert Baltovich.



***THE INVESTIGATION AND ASSESSMENT OF VIOLENCE RISK – A BRIEF
LOOK AT CHALLENGES AND BEST PRACTICES***
DETECTIVE SERGEANT BILL GOFTON
ONTARIO PROVINCIAL POLICE

TOPIC OVERVIEW: Learn about current risk assessment tools used by law enforcement and techniques used by the FBI for assessing violence risk in targeted cases, as well as cutting edge personality-based interview techniques.

Detective Sergeant Bill Gofton is a member of the Ontario Provincial Police with over 30 years of experience in various capacities that includes major crime, combined forces drug enforcement, and provincial anti-rackets. For the past 15 years he has been a member of the OPP's Criminal Behaviour Analysis Section. Originally trained as a Geographic Profiler (analysing human behavioural geography), he has investigated serial criminal offenders involved in cases that include sexual violence, arson, and murder files. Since 2008 he has been trained as a Threat Assessment Investigator and currently manages the Threat and Behaviour Analysis Team, a unit primarily involved in the assessment and management of individuals who present a risk for violence. In this capacity he investigates cases involving workplace violence, threats to political and public figures, school shooters, sexual violence, domestic violence, stalkers, anonymous threatening correspondence, and general violence (including extremism and terrorism cases). He is a court qualified expert in violence risk assessment and regularly provides training to police, criminal justice partners, and academic audiences.

D/Sgt Gofton holds a Bachelor of Arts Degree in Law with a concentration in Criminology from Carleton University and is a past member of the International Criminal Investigative Analysis Fellowship (ICIAF) and current member of the CATAP (Canadian Association of Threat Assessment Professionals). He was named the OPP Officer of the year in 2018 for assistance he provided to victims of the Las Vegas mass shooting.



COUNTERFEIT GOODS – NEW TRENDS IN INVESTIGATION

GEORGINA DANZIG

KESTENBERG SIEGAL LIPKUS LLP

TOPIC OVERVIEW: Learn about new investigative trends in the battle against counterfeit products.

Georgina Danzig graduated from the University of Windsor with a Bachelor of Law in 1988; the University of Detroit with a Juris Doctor in 1990 and studied international law at Regents College in London. She was called to the Ontario Bar in 1990; and served as a clerk to the Chief Justice of the Ontario Superior Court.

She designs defensive solutions to combat piracy, theft, and counterfeiting. In addition to strategic defensive work, she actively litigates all manner of anti-counterfeiting cases as well as cases involving theft of confidential information, trade secrets, corporate opportunities and tangible property. She assists law enforcement in securing information and evidence necessary to obtain a criminal search warrant.

After seizure, she interfaces between victims and the police to ensure the Crown has the necessary testimonial and evidentiary support. She has extensive expertise in all aspects of Canada's border enforcement regime in relation to counterfeit goods. She is often engaged as an Independent Supervising Solicitor in respect of the execution of Anton Piller orders in intellectual property and commercial disputes.

Selected by the *World Trademark Review 1000* in their highest category (Gold Band) – Canadian Anti-Counterfeiting Lawyer – The Definitive Guide to Trademark Legal Services, which identifies firms and individuals, by country, that are setting the industry benchmark for trademark legal services. Ms. Danzig is one of the only 2 individuals in the Gold Band for anti-counterfeiting.



A JOURNEY FIGHTING FINANCIAL CRIME ON BOTH SIDES OF THE 49TH PARALLEL

***HAROLD WAX, CPP, PCI, CFCI, CTIO
BGIS***

TOPIC OVERVIEW: Harold will discuss financial crime trends in Canada and how they are impacting the United States of America.

Harold Wax has been working in the Corporate Security field for over 20 years and is Board Certified in both Security Management as well as Investigations by ASIS International. Harold is also a Certified Financial Crimes Investigator with the International Association of Financial Crimes Investigators and is a designated Counter-Terrorism Information Officer through the Royal Canadian Mounted Police.

Harold is currently the Senior Director & Chief Security Officer responsible for Corporate Security, Business Continuity and Emergency Response for BGIS. BGIS is a leading provider of facilities management services, project delivery services, energy and sustainability solutions, building performance management, workplace advisory and management and real estate services. Operating across 32 offices in Canada, United States of America, United Kingdom, Ireland, Australia and New Zealand serving a diverse range of sectors including defence, justice, government, education, healthcare, utilities, finance, telecommunications, oil & gas and sport & leisure. BGIS manages over 30,000 client locations totaling more than 31 million square metres in a portfolio of corporate offices, retail, universities, schools, hospitals, venues & stadiums, justice & correctional institutions, military & intelligence facilities, remote townships, warehouses, industrial assets and data centres.

Harold is considered a subject matter expert with respect to cheque fraud and critical infrastructure protection/counter-terrorism. Harold has provided training and instruction to numerous investigative and law enforcement agencies across North America

including the Toronto Police Service, RCMP, OPP Anti-Rackets Bureau, Hamilton Police Service, Winnipeg Police Service, Competition Bureau of Canada, Canada Border Services Agency, IAFCI, LAFFIA, ASIS, US Secret Service, and the US Postal Inspection Service.

Harold is a member of the International Association of Chiefs of Police, the National Sheriffs' Association, and the Canadian Association of Chiefs of Police where he currently sits as a member of the Private Sector Liaison Committee and serves as the committee Sergeant-at-Arms.

In his spare time, Harold has been an Auxiliary Member of the Ontario Provincial Police since 1996, and has held various roles throughout the province including Auxiliary Constable, Auxiliary Sergeant, Auxiliary Staff Sergeant/Unit Commander, Regional Auxiliary Sergeant Major, and Auxiliary Inspector/Regional Director. Harold remains with the OPP to this day and is currently assigned to the Highway Safety Division. Harold was also a commissioned Reserve Deputy Marshal with the Lafayette City Marshal's Office in Lafayette, Louisiana, from 2016 until 2019. As of April 2019, Harold is currently a commissioned Reserve Police Officer with the Lafayette Police Department in Lafayette, Louisiana.

Harold is a recipient of the Sovereign's Medal for Volunteers as well as the Ontario Auxiliary Policing Medal. In 2020, Harold was named the Canadian Security Director of the Year by Canadian Security Magazine.